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IN THE UNITED STATES DISTRICT COURT  
DISTRICT OF UTAH, CENTRAL DIVISION

ALPINE SECURITIES CORPORATION, a  
Utah corporation, and SCOTTSDALE  
CAPITAL ADVISORS, an Arizona  
Corporation,

PLAINTIFFS,

v.

SECURITIES AND EXCHANGE  
COMMISSION,

DEFENDANT.

**DEFENDANT'S NOTICE OF  
EXPEDITED BRIEFING SCHEDULE  
IN RELATED MATTER**

Case No.: 2:18cv00504-CW

Judge: Clark Waddoups

Defendant United States Securities and Exchange Commission provides notice of an  
order establishing an expedited briefing schedule for its Motion to Enjoin Prosecution in *United*

*States Securities and Exchange Commission v. Alpine Securities Corp.*, 17-cv-4179-DLC (S.D.N.Y.) (“SDNY Action”). In its Motion for Stay of Proceedings before this Court (Dkt. 15), the Commission explained that, on July 3, 2018, it had filed a motion in the SDNY Action to enjoin Alpine Securities Corporation and Scottsdale Capital Advisors from further prosecuting the suit here under the “first-filed rule.” Def.’s Mot. for Stay of Proceedings, Dkt. 15, at 6. A copy of the Motion to Enjoin in the SDNY Action was attached as Exhibit 7 to the Motion for Stay of Proceedings in this matter. Dkt. 15-8.

On July 4, 2018, the court in the SDNY Action issued an Order setting a briefing schedule for the Motion to Enjoin Prosecution. Order, SDNY Action, Dkt. 134, attached as Exhibit 1. The Order directs Alpine to file any opposition to the July 3, 2018 Motion to Enjoin Prosecution by Monday, July 9, 2018, at noon. Order, Ex. 1, at 1. It directs the Commission to file any reply by Wednesday, July 11, 2018, at noon. *Id.*

Notice is provided to inform the Court of developments in the SDNY Action that may be relevant here.

Dated: July 5, 2018.

Respectfully submitted,

/s/ Matthew S. Ferguson  
Matthew S. Ferguson  
Attorney for Defendant  
U.S. Securities and Exchange Commission

**CERTIFICATE OF SERVICE**

I hereby certify that on the 5th day of July, 2018, I caused the **DEFENDANT'S**  
**NOTICE OF EXPEDITED BRIEFING SCHEDULE IN RELATED MATTER** to be served  
to the following parties entitled to service by the means indicated below:

VIA ECF

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/s/ Matthew S. Ferguson

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